

March 18, 2021

TO: Legal Counsel
News Media

Salinas Californian
El Sol
Monterey County Herald
Monterey County Weekly
KION-TV
KSBW-TV/ABC Central Coast
KSMS/Entravision-TV

The next regular meeting of the **CORPORATE COMPLIANCE AND AUDIT COMMITTEE - COMMITTEE OF THE WHOLE** of the Salinas Valley Memorial Healthcare System will be held **TUESDAY, MARCH 23, 2021, AT 5:00 P.M.**, in the **DOWNING RESOURCE CENTER, ROOMS A, B & C AT SALINAS VALLEY MEMORIAL HOSPITAL, 450 E. ROMIE LANE, SALINAS, CALIFORNIA, OR BY PHONE OR VIDEO (Visit svmh.com/virtualboardmeeting for Access Information).**

Please note: Pursuant to Executive Order N-25-20 issued by the Governor of the State of California in response to concerns regarding COVID-19, Board Members of Salinas Valley Memorial Healthcare System, a local health care district, are permitted to participate in this duly noticed public meeting via teleconference and certain requirements of The Brown Act are suspended.



Pete Delgado
President/Chief Executive Officer

Committee Members: Juan Cabrera – Chair; Joel Hernandez Laguna – Vice Chair; Pete Delgado – President/Chief Executive Officer; Augustine Lopez – Chief Financial Officer; Mike Nolan – Community Member; and Sanjeev Tandon – Community Member

**CORPORATE COMPLIANCE AND AUDIT COMMITTEE MEETING
MARCH 2021 - COMMITTEE OF THE WHOLE
SALINAS VALLEY MEMORIAL HEALTHCARE SYSTEM**

**TUESDAY, MARCH 23, 2021
5:00 P.M. – DOWNING RESOURCE CENTER, ROOMS A, B & C
SALINAS VALLEY MEMORIAL HOSPITAL
450 E. ROMIE LANE, SALINAS, CALIFORNIA
OR BY PHONE OR VIDEO
(Visit svmh.com/virtualboardmeeting for Access Information)**

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AGENDA

1. Approval of Minutes from the Corporate Compliance and Audit Committee Meeting of November 18, 2020 (DELGADO)
 - Motion/Second
 - Action by Committee
2. Compliance Officer Report (LOPEZ / JAENICKE)
3. Public Input

This opportunity is provided for members of the public to make a brief statement, not to exceed three (3) minutes, on issues or concerns within the jurisdiction of this District Board which are not otherwise covered under an item on this agenda.
4. Closed Session

(See Attached Closed Session Sheet information)
5. Reconvene Open Session/Report on Closed Session
6. Adjournment – The Corporate Compliance and Audit Committee meets quarterly. The next meeting is scheduled for **Tuesday, June 22, 2021, at 5:00 p.m.**

Notes: This Committee meeting may be attended by Board Members who do not sit on this Committee. In the event that a quorum of the entire Board is present, this Committee shall act as a Committee of the Whole. In either case, any item acted upon by the Committee or the Committee of the Whole will require consideration and action by the full Board of Directors as a prerequisite to its legal enactment.

Requests for a disability related modification or accommodation, including auxiliary aids or services, in order to attend or participate in a meeting should be made to the Executive Assistant during regular business hours at 831-755-0741. Notification received 48 hours before the meeting will enable the District to make reasonable accommodations.

The Committee packet is available at the Committee Meeting, at www.svmh.com, and in the Human Resources Department of the District. All items appearing on the agenda are subject to action by the Committee. Staff and Committee recommendations are subject to change by the Board.

**SALINAS VALLEY MEMORIAL HEALTHCARE SYSTEM
CORPORATE COMPLIANCE AND AUDIT COMMITTEE MEETING OF THE
BOARD OF DIRECTORS – COMMITTEE OF THE WHOLE**

AGENDA FOR CLOSED SESSION

Pursuant to California Government Code Section 54954.2 and 54954.5, the board agenda may describe closed session agenda items as provided below. No legislative body or elected official shall be in violation of Section 54954.2 or 54956 if the closed session items are described in substantial compliance with Section 54954.5 of the Government Code.

CLOSED SESSION AGENDA ITEMS

[] **LICENSE/PERMIT DETERMINATION**
(Government Code §54956.7)

Applicant(s): (Specify number of applicants) _____

[] **CONFERENCE WITH REAL PROPERTY NEGOTIATORS**
(Government Code §54956.8)

Property: (Specify street address, or if no street address, the parcel number or other unique reference, of the real property under negotiation): _____

Agency negotiator: (Specify names of negotiators attending the closed session): _____

Negotiating parties: (Specify name of party (not agent): _____

Under negotiation: (Specify whether instruction to negotiator will concern price, terms of payment, or both): _____

[] **CONFERENCE WITH LEGAL COUNSEL-EXISTING LITIGATION**
(Government Code §54956.9(d)(1))

Name of case: (Specify by reference to claimant's name, names of parties, case or claim numbers): _____, or

Case name unspecified: (Specify whether disclosure would jeopardize service of process or existing settlement negotiations): _____

[] **CONFERENCE WITH LEGAL COUNSEL-ANTICIPATED LITIGATION**
(Government Code §54956.9)

Significant exposure to litigation pursuant to Section 54956.9(d)(2) or (3) (Number of potential cases): _____

Additional information required pursuant to Section 54956.9(e): _____

Initiation of litigation pursuant to Section 54956.9(d)(4) (Number of potential cases): _____

[] **LIABILITY CLAIMS**
(Government Code §54956.95)

Claimant: (Specify name unless unspecified pursuant to Section 54961): _____

Agency claimed against: (Specify name): _____

[] **THREAT TO PUBLIC SERVICES OR FACILITIES**
(Government Code §54957)

Consultation with: (Specify name of law enforcement agency and title of officer): _____

[] **PUBLIC EMPLOYEE APPOINTMENT**
(Government Code §54957)

Title: (Specify description of position to be filled): _____

[] **PUBLIC EMPLOYMENT**
(Government Code §54957)

Title: (Specify description of position to be filled): _____

[] **PUBLIC EMPLOYEE PERFORMANCE EVALUATION**
(Government Code §54957)

Title: (Specify position title of employee being reviewed): _____

[] **PUBLIC EMPLOYEE DISCIPLINE/DISMISSAL/RELEASE**
(Government Code §54957)

(No additional information is required in connection with a closed session to consider discipline, dismissal, or release of a public employee. Discipline includes potential reduction of compensation.)

[] **CONFERENCE WITH LABOR NEGOTIATOR**
(Government Code §54957.6)

Agency designated representative: (Specify name of designated representatives attending the closed session): _____

Employee organization: (Specify name of organization representing employee or employees in question): _____, or

Unrepresented employee: (Specify position title of unrepresented employee who is the subject of the negotiations): _____

CASE REVIEW/PLANNING
(Government Code §54957.8)

(No additional information is required to consider case review or planning.)

REPORT INVOLVING TRADE SECRET
(Government Code §37606 & Health and Safety Code § 32106)

Discussion will concern: (Specify whether discussion will concern proposed new service, program, or facility):

Estimated date of public disclosure: (Specify month and year): _____

HEARINGS/REPORTS
(Government Code §37624.3 & Health and Safety Code §§1461, 32155)

Subject matter: (Specify reports concerning medical staff privileges, medical audit report, hospital internal audit report, or quality/safety/assurance report):

- 1. Systems Security Update

CHARGE OR COMPLAINT INVOLVING INFORMATION PROTECTED BY FEDERAL LAW (Government Code §54956.86)

(No additional information is required to discuss a charge or complaint pursuant to Section 54956.86.)

ADJOURN TO OPEN SESSION

**MINUTES OF THE NOVEMBER 2020 CORPORATE COMPLIANCE
AND AUDIT COMMITTEE MEETING
COMMITTEE OF THE WHOLE
SALINAS VALLEY MEMORIAL HEALTHCARE SYSTEM**

**WEDNESDAY, NOVEMBER 18, 2020
5:00 P.M. – DOWNING RESOURCE CENTER, ROOMS A, B & C
SALINAS VALLEY MEMORIAL HOSPITAL
450 E. ROMIE LANE, SALINAS, CALIFORNIA
OR BY PHONE OR VIDEO
(Visit svmh.com/virtualboardmeeting for Access Information)**

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Committee Members Present: Juan Cabrera, Chair; Joel Hernandez Laguna, Vice Chair; Augustine Lopez, Sanjeev Tandon, Mike Nolan by teleconference; Pete Delgado in person.

Other Board Members Present, Constituting Committee of the Whole: There were no other Board Members present.

Also Present: Adrienne Laurent, Clement Miller, Michelle Childs, Clint Hoffman, Audrey Parks, Scott Cleveland, Derek Ames, Chris Cunningham, and Karen Schroeder in person; Renée Jaenicke, Lindsey Parnell, Chris Pritchard, Joelle Pulver, Kory Hoggan, by teleconference.

A quorum was present and the meeting was called to order at 5:04 p.m. by Juan Cabrera, Committee Chair.

**APPROVAL OF MINUTES FROM THE CORPORATE COMPLIANCE AND AUDIT
COMMITTEE MEETING OF AUGUST 26, 2020**

Pete Delgado, President/Chief Executive Officer, recommended the Corporate Compliance and Audit Committee approve the minutes of the Corporate Compliance and Audit Committee Meeting of August 26, 2020. This information was included in the Committee packet.

No Public Input.

MOTION: The Corporate Compliance and Audit Committee approves the minutes of the Corporate Compliance and Audit Committee Meeting of August 26, 2020, as presented. Moved/Seconded/Motion Carried. Ayes: Cabrera, Hernandez Laguna, Delgado, Lopez, Nolan, Tandon; Noes: None; Abstentions: None; Absent: None; Motion Carried.

**CONSIDER RECOMMENDATION FOR BOARD APPROVAL OF THE YEARS
ENDED JUNE 30, 2020 AND 2019 AUDITED FINANCIAL STATEMENTS FOR
SALINAS VALLEY MEMORIAL HEALTHCARE SYSTEM**

Augustine Lopez, Chief Financial Officer, introduced independent auditors Chris Pritchard and Joelle Pulver of Moss Adams, who presented the Audit Results for Salinas Valley Memorial Healthcare System. This information was included in the Committee packet.

The presentation included an overview of the following areas:

- Auditor Opinion and Report of Independent Auditors and Consolidated Financial Statements with Supplementary Information for Salinas Valley Memorial Healthcare System for years ended June 30, 2020 and 2019
- Statements of Net Position
- Operations
- Financial Ratios and Metrics
- Communications with those Charged with Governance

Moss Adams issued an unmodified opinion that the consolidated financial statements for the years ended June 30, 2020 and 2019 for Salinas Valley Memorial Healthcare System are presented fairly and in accordance with U.S. generally accepted accounting principles.

There was brief discussion among the Committee, Executive Leadership, and Moss Adams regarding pension plan data included in the System's consolidated financial statements as of December 31, 2019, net accounts receivable as a percentage of net revenues that is in line with the industry, days in accounts receivable, and payments received as a result of the pandemic.

The Committee commended the outstanding efforts of Executive Leadership and the Finance Team for the financial management of the System and the unmodified opinion with no deficiencies in internal controls. Mr. Lopez commended Scott Cleveland, Controller, and Lindsey Parnell, Assistant Controller, for their leadership and implementation of best practices.

No Public Comment.

MOTION: The Corporate Compliance and Audit Committee recommends the Board of Directors accept the Report of Independent Auditors and approve the Audited Consolidated Financial Statements for the years ended June 30, 2020 and 2019, for Salinas Valley Memorial Healthcare System, as presented. Moved/Seconded/Motion Carried. Ayes: Cabrera, Hernandez Laguna, Delgado, Lopez, Nolan, Tandon; Noes: None; Abstentions: None; Absent: None; Motion Carried.

CONSIDER RECOMMENDATION FOR BOARD APPROVAL OF THE YEARS ENDED DECEMBER 31, 2019 AND 2018 AUDITED FINANCIAL STATEMENTS FOR THE SALINAS VALLEY MEMORIAL HEALTHCARE DISTRICT EMPLOYEES' PENSION PLAN

Kory Hoggan, independent auditor, Moss Adams, provided an overview of the Report of Independent Auditors and Financial Statements for Salinas Valley Memorial Healthcare District Employees' Pension Plan for the years ended December 31, 2019 and 2018. This information was included in the Board packet.

Moss Adams issued an unmodified opinion stating that the financial statements of Salinas Valley Memorial Healthcare District Employees' Pension Plan for year ended December 31, 2019, are presented fairly and in accordance with accounting principles generally accepted in the United States of America.

Key areas reviewed by Mr. Hoggan were as follows:

- Pension Financial Highlights
- Significant Audit Areas
- Required Communications

Of note, during the year ended December 31, 2019, the System's Board of Directors worked with the Plan's actuary and decreased the discount rate used to measure total pension liability to 6.50% from the discount rate of 7.00% used in the prior year. The adoption of a lower discount rate aligns with the industry and is a conservative approach to better reflect the expected rate of return of the Plan's investments. There was also a change in the mortality table.

The Committee commended the excellent efforts of Augustine Lopez, Chief Financial Officer, and the Finance Team.

No Public Comment.

MOTION: The Corporate Compliance and Audit Committee recommends the Board of Directors accept the Report of Independent Auditors and approve the Audited Financial Statements for Salinas Valley Memorial Healthcare District Employees' Pension Plan for years ended December 31, 2019 and 2018, as presented. Moved/Seconded/Motion Carried. Ayes: Cabrera, Hernandez Laguna, Delgado, Lopez, Nolan, Tandon; Noes: None; Abstentions: None; Absent: None; Motion Carried.

PUBLIC INPUT

None.

CLOSED SESSION

Juan Cabrera, Committee Chair, reported that the item to be discussed in Closed Session is: Hearings/Reports – Annual District Financial Audit Report. The meeting was adjourned into Closed Session under the Closed Session protocol at 5:59 p.m.

RECONVENE OPEN SESSION/REPORT ON CLOSED SESSION

The Committee reconvened Open Session at 6:18 p.m. Mr. Cabrera announced that in Closed Session, Hearings/Reports – Annual District Financial Audit Report, was discussed. No action was taken in the Closed Session.

ADJOURNMENT

There being no other business, the meeting was adjourned at 6:19 p.m. The Corporate Compliance and Audit Committee meets quarterly. The date and time of the next meeting to be determined.

Juan Cabrera, Chair
Corporate Compliance and Audit Committee

**RECOMMENDATIONS OF THE NOVEMBER 2020
CORPORATE COMPLIANCE AND AUDIT COMMITTEE
COMMITTEE OF THE WHOLE
SALINAS VALLEY MEMORIAL HEALTHCARE SYSTEM**

**November Committee Meeting
Meeting of November 18, 2020
To the Board of Directors**

1. **RECOMMEND BOARD APPROVAL OF THE YEARS ENDED JUNE 30, 2020
AND 2019 AUDITED FINANCIAL STATEMENTS FOR SALINAS VALLEY
MEMORIAL HEALTHCARE SYSTEM**

RECOMMENDATION: Recommends the Board of Directors accept the Report of Independent Auditors and approve the Audited Consolidated Financial Statements for the years ended June 30, 2020 and 2019, for Salinas Valley Memorial Healthcare System, as presented.

2. **RECOMMEND BOARD APPROVAL OF THE YEARS ENDED DECEMBER 31,
2019 AND 2018 AUDITED FINANCIAL STATEMENTS FOR THE SALINAS
VALLEY MEMORIAL HEALTHCARE DISTRICT EMPLOYEES' PENSION
PLAN**

RECOMMENDATION: Recommends the Board of Directors accept the Report of Independent Auditors and approve the Audited Financial Statements for Salinas Valley Memorial Healthcare District Employees' Pension Plan for years ended December 31, 2019 and 2018, as presented.



Corporate Compliance and Audit Committee
March 23, 2021



Topics

- Education
- Compliance and Audit Dashboard
- Update on Compliance Efforts
 - Electronic Funds Transfer (ACH) Review
 - Privacy Mock Audit
 - Conflict of Interest and Statements of Economic Interest
- Update on FEMA
- Questions/Comments

Education

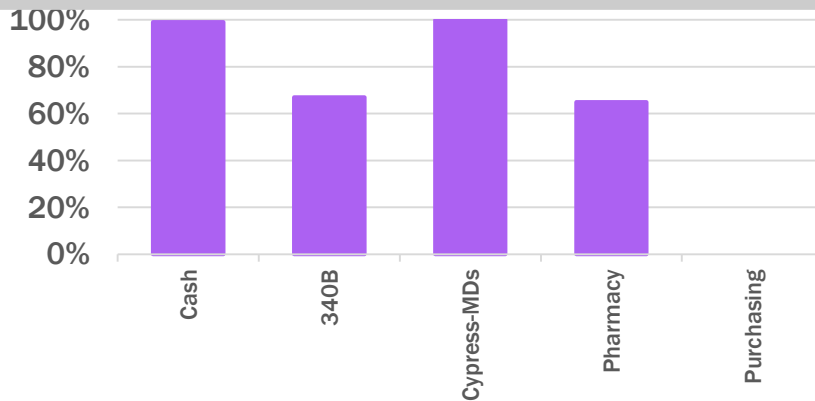
Conflict of Interest

- A potential conflict of interest occurs when our private interests may influence the performance of our duties and responsibilities to SVMHS.
- Examples:
 - Making hiring decisions about a relative
 - Contracting on behalf of SVMHS with a business in which you or a member of your immediate family have a financial interest.
 - Diverting business to a competitor because you have a friend who works there.
- What should I do?
 - If you are concerned that you may have a conflict of interest, we can put processes in place that will prevent the potential conflict of interest from becoming an actual conflict of interest.
 - Contact the Compliance Officer, Renée Jaenicke, at rjaenicke@svmh.com or call 831-759-1958 so we can work together.

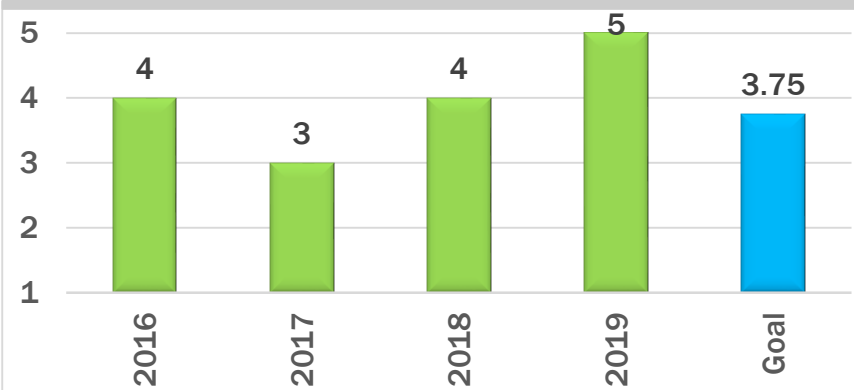
To report a concern anonymously:
<https://www.ethicspoint.com>
888-274-8231 – Ethics Point

Corporate Compliance and Audit Dashboard

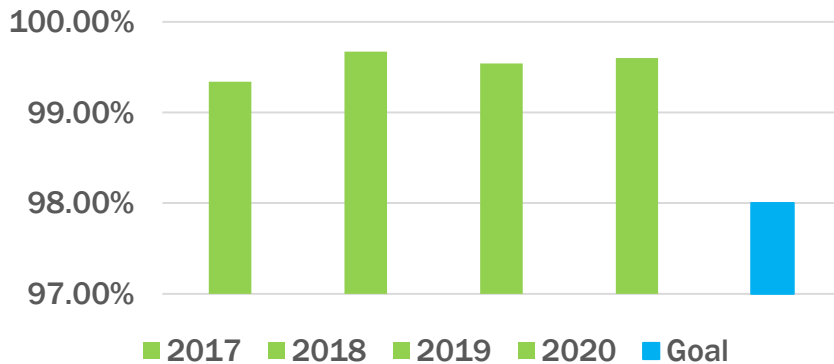
COMPLETED ACTION PLANS



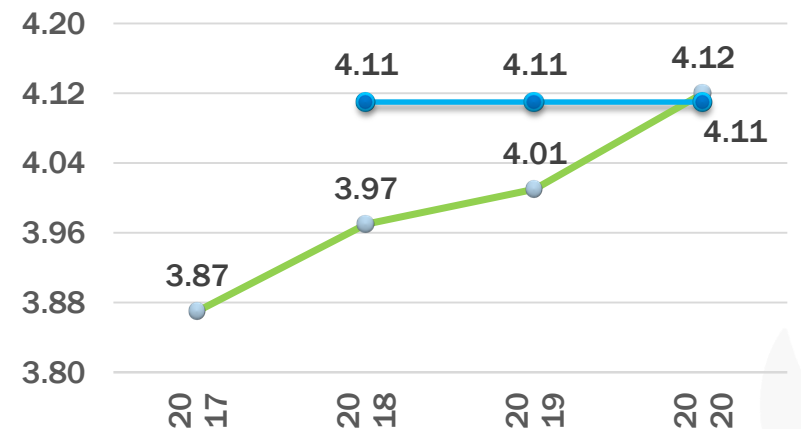
AVERAGE RATING (NO CHANGE)



COMPLETION OF STAFF EDUCATION BY DUE DATE

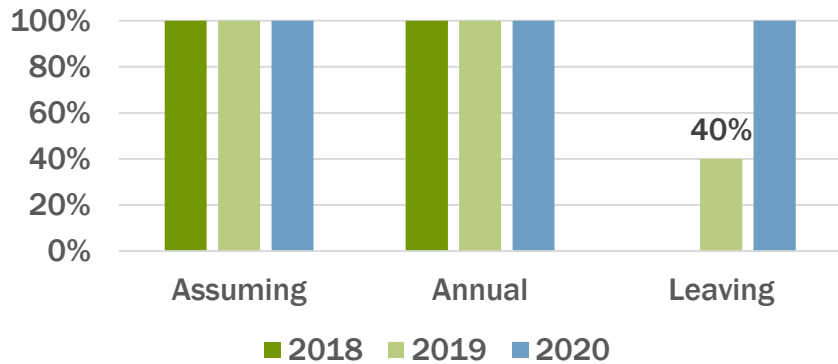


PRESS GANEY ETHICS SCORE

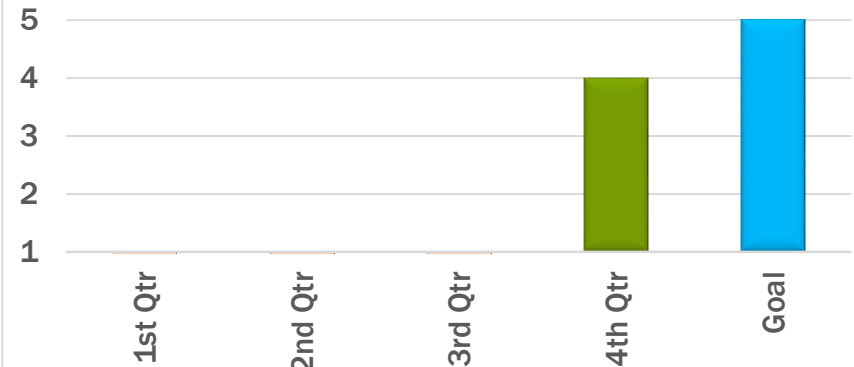


Corporate Compliance and Audit Dashboard

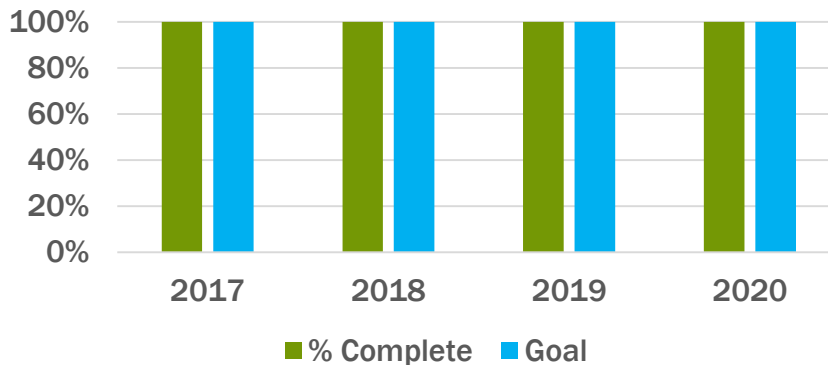
STATEMENT OF ECONOMIC INTEREST TIMELY



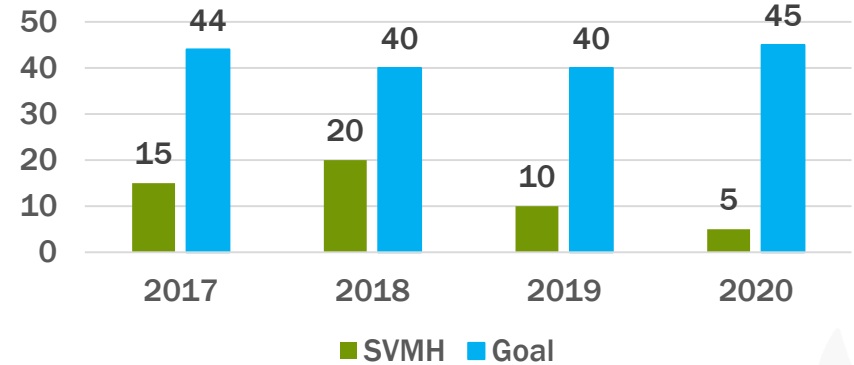
REVIEW OF EXCLUSIONS CHECKS



AB 1234 TRAINING COMPLETED TIMELY



MEDIAN DAYS TO CLOSE ISSUE



Electronic Funds Transfer (ACH) Review



- Review of controls as follow-up to previously reported inappropriate transaction.
- Performed interviews and detailed testing of selected transactions in August 2020.
 - During August we had 256 transactions totaling approximately \$12 million.
 - Compared routing number and bank account used to routing number and bank account on invoice or vendor add form. All were valid.
- Updating ACH policy and procedure and requiring training, which incorporates the following:
 - Verify ACH changes with the vendor, requiring and documenting a phone call
 - Require ACH change supporting documentation to be a source document from the vendor.
 - Retain documentation for vendor add and change requests in a secure, centralized location.

Privacy Mock Audit

- Part of risk-based audit plan 2019, 2020
- Objective: Evaluate SVMH Privacy Program in light of HIPAA Privacy requirements.
- Use the same audit program the that the Office of Civil Rights (OCR) would use to perform an audit. *

- Two parts
 - Review of current policies and procedures to ensure that they meet HIPAA required provisions.
 - Performed by Compliance Officer
 - Audit of documentation to determine to what extent they follow HIPAA requirements and our policies and procedures.
 - CynergisTek assistance



* OCR is a department of the U.S. Department of Health and Human Services.

Privacy Mock Audit

- **Contracted with CynergisTek for the following:**
 - Consult with SVMH Privacy Officer on best practices regarding documentation.
 - Work with SVMH to determine what documents to request to support HIPAA requirements.
 - Meet with various leaders, the Privacy Officer, and the Compliance Officer, to educate on HIPAA provisions and how we may support compliance.
- **Actions taken to date:**
 - Privacy Officer updating policies and procedures. Will be brought to the Board of Directors for approval.
 - Privacy Officer working with leaders to develop procedures and practices where needed.
- **Requesting that CynergisTek provide a report to this committee at its June 2021 meeting of this Committee.**

Conflict of Interest Requirement

- **Monterey County Board of Supervisors requires review of conflict of interest code every two years.**
 - Update involves contacting leaders to determine who makes decisions regarding entering into, modifying, renewing, or approving contracts
 - Determine to which category each code filer belongs.
- **SVMH Board of Directors reviewed and approved updated Conflict of Interest Code in September 2020.**
- **Approved Conflict of Interest Code sent to Monterey County Board of Supervisors and approved October 27, 2020.**


**Monterey County
Board of Supervisors**
168 West Alisal Street,
1st Floor
Salinas, CA 93901
831 755 5066
www.co.monterey.ca.us

Board Order

A motion was made by Supervisor Mary L. Adams, seconded by Supervisor John M. Phillips to:

Adopted Resolution No.: 20 – 346 to revise and approve amendments to the Salinas Valley Memorial Healthcare System's Conflict of Interest Code.

PASSED AND ADOPTED on this 27th day of October 2020, by roll call vote.

AYES: Supervisors Alejo, Phillips, Lopez, Parker and Adams
NOES: None
ABSENT: None
(Government Code 54953)

I, Valerie Ralph, Clerk of the Board of Supervisors of the County of Monterey, State of California, hereby certify that the foregoing is a true copy of an original order of said Board of Supervisors duly made and entered in the minutes thereof of Minute Book 82 for the meeting October 27, 2020.

Date: October 27, 2020
File ID: RES 20-182
Agenda Item No.: 40

Valerie Ralph, Clerk of the Board of Supervisors
County of Monterey, State of California

Joel G. Pablo, Deputy

Other Conflict of Interest Review

- Review CMS Open Payments Database for each new vendor to determine if vendor has paid a physician.
- Annual check to determine if employees and vendors have the same tax ID numbers.

OpenPaymentsData.CMS.gov

Search Open Pa

Home Search Tool Summary Data Data Explorer Download Data About v Take Survey


Search for a ...

Physician Teaching Hospital Company Making Payments

Company Name

State

Country

Include other name(s) reported in search. 



Memorandum

DATE: December 9, 2020
TO: Augustine Lopez, CFO
FROM: Renée W. Jaenicke, Director of Internal Audit & Compliance
RE: Results of Matching for Employee Conflict of Interest and Physician Contracts

Executive Summary

As part of an ongoing annual effort to evaluate whether conflicts of interest are present for employees and ensure that physicians paid by SVMH have current contracts, I performed reviews of various reports and documentation to answer the following questions:

- Does SVMH have employees listed as vendors who did not declare a conflict of interest or gone through a competitive bidding process, if necessary?
- Did SVMH make payments to physicians who did not have contracts current at the time that services were rendered?

The time period reviewed was July 5, 2017 through August 17, 2020.

Results of this review identified **no concerns related to payments to employees or physicians.**

This review will be performed annually by the Audit/Compliance Office as part of its ongoing work.

Statement of Economic Interest (SEI) - Origins

- In 1974, California voters passed Proposition 9, known as the Political Reform Act, which regulates conflicts of interest, campaign finance, and lobbying activity.
- Approved by more than 70% of California voters.
- The Fair Political Practices Commission (FPPC) was created to implement and enforce the Act, and to assist individuals with compliance.



SEI Form - Purpose

- To provide information to the public about an official's personal financial interest
- To help provide transparency, fairness, and integrity to California's political system.
- To help foster the public trust and confidence in the government.
- To remind the public official of potential conflicts of interest



SEI - Stats

Type of Form	When Due	# in 2020
Assuming Office	Within 30 calendar days of assuming office	7
Annual	<u>April 1</u> each year, or next business day	70
Leaving Office	Within 30 calendar days of leaving office	7

Type of Filer	Description	# Filers in 2021
87200 (Required)	Board members, CEO, CFO	7
Code (Defined by COI)	Those who make decisions regarding contracts and expenditures of funds	70

Accessing FEMA Funds

- The following slides are an excerpt from a larger presentation given to the Executive Alignment Group on February 25, 2021.
- Dollar amounts noted are subject to change as applications are finalized.



FEMA: Cross Functional Team



FEMA Requirements Overview

- **Contracted with Ernst & Young**
- **Phases of work**
- **FEMA requirements**
- **Brief description of what is eligible**

Public Assistance Program and Policy Guide

FP 104-009-2 / April 2018

Robert T. Stafford Disaster Relief and Emergency Assistance Act,
Public Law 93-288, as amended, 42 U.S.C. 5121-5207,
and Related Authorities

UNITED STATES CODE
TITLE 42. THE PUBLIC HEALTH AND WELFARE
CHAPTER 68. DISASTER RELIEF

FEMA Application Process

Develop

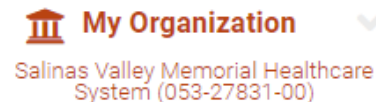
- Set up process for capturing information.
- Run report and we review for data integrity.
- Ernst & Young reviews to ensure only reimbursable items.

Document

- Provide basic documents that apply to the application.
- Ernst & Young reviews to ensure documentation supports claim.

Memos and Application

- Prepare, update, and sign memos describing our process for capturing and reviewing items submitted.
- Prepare, update, and sign representation memo.
- Review application in Grants Portal



FEMA Application Process (Cont'd.)

Cal OES Review

- California Office of Emergency Services (Cal OES) reviews our submission.
- We address any questions
- Cal OES completes review.

FEMA Review

- Submit application in Grants Portal.
- FEMA reviews.
- We address questions from FEMA.
- Projects over \$1 million require Congressional approval (list provided to Appropriations Committee).

Funds Approved

- FEMA advises what is approved and sends to Cal OES approved amount. *
- Cal OES distributes \$ to SVMH.

* Recent announcement from President Biden increases reimbursement % from 75% to **100%** of allowable expenditures, from beginning of pandemic through at least 9/30/21.

January 21, 2021

Memorandum For the Secretary of Defense the Secretary of Homeland Security

SUBJECT: Memorandum to Extend Federal Support to Governors' Use of the National Guard to Respond to COVID-19 and to Increase Reimbursement and Other Assistance Provided to States

By the authority vested in me as President by the Constitution and the laws of the United States of America, including the Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. 5121–5207 (the “Stafford Act”), and section 502 of title 32, United States Code, I hereby order as follows:

(b) FEMA shall make assistance under this section available at a 100 percent Federal cost share until September 30, 2021.

<https://www.whitehouse.gov/briefing-room/presidential-actions/2021/01/21/extend-federal-support-to-governors-use-of-national-guard-to-respond-to-covid-19-and-to-increase-reimbursement-and-other-assistance-provided-to-states/>

Submission Status

For expenditures through December 2020

	List of Claims	Time Period	Claim Est. (\$,000) *	Submission Status
1.	Purchased Personal Protective Equipment (PPE)	March – June 2020	\$714	FEMA reviewing
2.	Labor and Benefits	March – June 2020	\$2,089	Cal OES and FEMA reviewing
3.	Purchased Materials and Contracted Services	March – June 2020	\$1,326	March 2021
4.	Purchased PPE	July – December 2020	\$1,353	March 2021
5.	Labor and Benefits	July – September 2020	\$1,590	April 2021
6.	Purchased Materials and Contracted Services	July – September 2020	\$1,016	April 2021
7.	Estimate for claims yet to be itemized	Through December 2020	\$3,201	Submit as ready
8.	Vaccination Related Equipment and Supplies	TBD	TBD	Separate application at end of pandemic, per discussion with FEMA
9.	Capital	TBD	TBD	At end of pandemic

Estimated total through December 2020 = \$11.3 million.

* Amounts are subject to change based on Cal OES and FEMA review.



Questions/Comments

PUBLIC INPUT

CLOSED SESSION

*(Report on Item to be
Discussed in Closed Session)*

*RECONVENE OPEN SESSION/
REPORT ON CLOSED SESSION*

*ADJOURNMENT – THE CORPORATE
COMPLIANCE AND AUDIT
COMMITTEE MEETS QUARTERLY. THE
NEXT MEETING IS SCHEDULED FOR
TUESDAY, JUNE 22, 2021, AT 5:00 P.M.*